

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>4</sup> :  A61K 7/06	A1	(11) International Publication Number: WO 86/ 05390  (43) International Publication Date: 25 September 1986 (25.09.86)
(21) International Application Number: PCT/US86/00245  (22) International Filing Date: 20 February 1986 (20.02.86)  (31) Priority Application Number: 711,234  (32) Priority Date: 13 March 1985 (13.03.85)  (33) Priority Country: US  (71) Applicant: HELENE CURTIS INDUSTRIES, INC. [US/US]; 325 North Wells Street, Chicago, IL 60610 (US).  (72) Inventor: PRESTON, John, C. ; 1560 North Sandburg Terrace, Chicago, IL 60610 (US).  (74) Agents: GAMSON, Edward, P.; Dressler, Goldsmith, Shore, Sutker, & Milnamow, Ltd., 1800 Prudential Plaza, Chicago, IL 60601 (US) et al.	(81) Designated States: AT (European patent), AU, BE (Eu- ropean patent), CH (European patent), DE (Euro- pean patent), DK, FR (European patent), GB (Euro- pean patent), IT (European patent), JP, KR, LU (Eu- ropean patent), NL (European patent), NO, SE (Eu- ropean patent).  Published <i>With international search report.</i>	
(54) Title: PEARLESCENT SHAMPOO AND METHOD FOR PREPARATION OF SAME		
(57) Abstract  Improved pearlescent shampoos having suspended therein in a crystalline state a pearlescenting agent comprised of a fatty acid ester whose fatty acid portion is derived from a saturated (C <sub>16</sub> -C <sub>22</sub> ) fatty acid and whose alcohol portion is derived from a saturated (C <sub>14</sub> -C <sub>22</sub> ) fatty alcohol, the ester being presolubilized with a substantially anhydrous solubilizing agent by a method of this invention.		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GA	Gabon	MR	Mauritania
AU	Australia	GB	United Kingdom	MW	Malawi
BB	Barbados	HU	Hungary	NL	Netherlands
BE	Belgium	IT	Italy	NO	Norway
BG	Bulgaria	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali		
FR	France				

-1-

PEARLESCENT SHAMPOO AND METHOD FOR  
PREPARATION OF SAME  
DESCRIPTION

Technical Field

5       The present invention relates to shampoo compositions, and particularly to a pearlescent shampoo and a method of preparing a pearlescent shampoo that contains a suspended pearlescing agent comprised of a fatty acid ester presolubilized with a  
10      substantially anhydrous solubilizing agent.

Background Art

Liquid creme or lotion shampoo formulations are often opacified by deliberately incorporating "pearlescing" agents therein to achieve a  
15      cosmetically attractive pearl-like appearance known as pearlescence.

Not all useful opacifiers, however, are pearlescing agents. Several materials are known to be useful for creating pearlescence in cosmetic  
20      compositions, simulated pearls and lacquers. These materials are sometimes lustrous, silvery-white substances derived from naturally occurring and inorganic materials, such as comminuted fish scales, natural mineral mica, mercuric chloride and shellfish  
25      nacres, or are synthetic forms of mother-of-pearl.

Pearlescing agents frequently utilized in cosmetic compositions may be stearic acid and insoluble metal salts thereof, such as magnesium stearate or zinc stearate, glyceryl stearates,  
30      ethylene glycol mono- and di-stearates, polyethylene glycol distearate, glycol amidostearate, less soluble surfactants having a high cloud point, such as (C<sub>16</sub>-C<sub>18</sub>) alkyl glyceryl ether sulfonates, certain fatty alkanolamides, and even insoluble resin  
35      latex dispersions.

-2-

The type of pearlescence achieved may vary from flat to highly reflective or iridescent depending on the amount, size, shape and reflective or refractive power of the pearlescing agent used as  
5 the opacifier.

The known pearlescent materials suffer from several disadvantages. For example, non-separating, stable pearlescent compositions that contain a relatively large amount of water, such as shampoos,  
10 have been difficult to prepare. That is, once prepared, such compositions tend to separate during storage into two distinct phases, one of which contains a large amount of the pearlescent material.

Persons skilled in the art are aware of the problems faced by shampoo manufacturers in consistently preparing a pearly or pearlescent shampoo. A detailed discussion of these problems is found in the article "Opacifiers and pearlizing agents in shampoos" by Hunting, Cosmetics & Toiletries, Vol.  
20 96, (hereinafter "Hunting") 65-78 (July, 1981), incorporated herein by reference. A particular problem, as described by Hunting, is the influence of the method or procedure used in preparing the shampoo, as well as the order in which the  
25 ingredients are incorporated.

Cosmetic compositions that contain ethylene glycol monostearate or distearate as a pearlescing agent can be made relatively stable to phase separation at ambient room temperatures. However,  
30 when the temperature of the composition is elevated near, to, or above the melting point of the pearlescer during summer storage or in a window display of a product, the composition must be cooled with agitation to return it to a pearlescent  
35 condition.

-3-

Another disadvantage of these compositions is that a generous amount of suspended pearl producing opacifier is required to produce pearlescence. Ethylene glycol monostearate or distearate, for example, are each typically utilized in amounts of about 1.5 to about 3.5 weight percent of the shampoo composition. When so used, those pearlescers also tend to interfere with lathering.

A disadvantage of some of the known particulate pearlescent materials such as comminuted fish scales, mica or shellfish nacre is that these pearlescers can be abrasive when used in a shampoo that is intended to be rubbed on the scalp and hair.

On the other hand, non-particulate, non-abrasive, wax-like pearlescers depend on their being suspended in the liquid medium in a crystalline state to produce pearlescence. These pearlescers, however, have several disadvantages. The compositions must frequently be stored and aged over a period of several weeks or more before the pearlescer reaches a satisfactory crystalline state. Such aging storage is commercially impractical, since the quality and consistency of the result is not readily predictable. Additionally, the viscosity of shampoos prepared with such pearlescers tends to continuously change to some unpredictable level after pearlescence initially develops, thereby presenting added product quality control problems.

In my U.S. Patent No. 4,438,096, issued March 20, 1984, to the assignee of this invention, I teach the use of the fatty acid ester, myristyl myristate, as the pearlescing agent for a shampoo prepared by a direct-type method disclosed therein. The teachings of that patent are incorporated herein by reference, and the method of preparing a shampoo

-4-

of that patent is also referred to as the "Preston" method.

In the Preston method, the myristyl myristate was admixed directly with the liquid medium of the composition, while the ester was in a liquid state and the liquid medium was heated to a temperature above the melting point of myristyl myristate. The liquid medium contained water and an amount of surface active agent effective for 10 cleansing.

Myristyl myristate was noted in my above patent as being a singularly useful pearlescenting agent in the group of fatty alcohol esters of fatty acids that are homologous esters of myristyl myristate for 15 shampoos prepared according to the method taught therein. For example, cetyl palmitate did not form a pearlescent shampoo when it was substituted for myristyl myristate..

My patent also teaches that at low levels of 20 about 0.2 weight percent of myristyl myristate, pearlescence developed slowly over an aging period of about two weeks, and that in amounts greater than about 1 weight percent of the shampoo, phase separation of the fatty acid ester from the rest of 25 the shampoo took place. Thus, where the total amount of pearlescenting agent used fell outside the range of about 0.25 to about 1 weight percent of the total composition, the addition of phase-stabilizing ingredients was needed for preparation of stable, 30 non-separating pearlescent compositions

There is a need, therefore, for a pearlescenting agent and method of preparing a pearlescent product capable of producing a consistently predictable pearlescence, especially in a shampoo, within a 35 commercially reasonable time period.

-5-

Summary of the Invention

The present invention relates to an improved pearlescent shampoo and method for preparation of same that contains a novel non-particulate, 5 presolubilized pearlescing agent suspended therein in a crystalline state. More particularly, the pearlescing agent is a non-liquid presolubilized natural or synthetic fatty acid ester, the ester being presolubilized in the manner disclosed herein 10 with a substantially anhydrous solubilizing agent.

Pearlescent shampoo compositions of this invention having a predictable pearlescence are consistently obtained when the ratio of solubilizing agent to ester is in the range of from between about 15 1 to about 25 parts by weight of solubilizing agent per part of ester, and the shampoo is prepared by an Indirect method disclosed herein.

An improved pearlescent shampoo of this invention comprises a liquid medium containing an 20 effective amount of a cleansing surface active agent and water, and having admixed therein an effective amount of a substantially anhydrous pearlescing base comprising a presolubilized pearlescing agent and anhydrous solubilizing agent for the pearlescing 25 agent as disclosed herein. The term "substantially anhydrous" as used herein refers to a material or a composition that does not contain more than 10 percent water, i.e., the material or composition is from about 90-100 percent active.

In one embodiment, the substantially 30 anhydrous pearlescing base comprises, as a presolubilized pearlescing agent, at least one fatty acid ester whose fatty acid portion is derived from a saturated ( $C_{16}-C_{22}$ ) fatty acid and whose alcohol 35 portion is derived from a saturated ( $C_{14}-C_{22}$ )

-6-

fatty alcohol, and the pearlescing agent is presolubilized by the method of this invention with a substantially anhydrous solubilizing agent at a weight ratio of from about 1 to about 25 parts by 5 weight of solubilizing agent per part of ester.

The method of this invention is identified herein as an "Indirect" method, since the pearlescing agent is presolubilized and contained in a substantially anhydrous pearlescing base prepared 10 separately from the main body or liquid medium of the shampoo. Thus, the pearlescing agent is not added directly to the shampoo in the manner taught by me in my patent, or in the manner customarily practiced.

In the Indirect method of this invention, a 15 pearlescing base is prepared by blending a substantially anhydrous solubilizing agent for the fatty acid ester at a temperature, prior to the blending step, above the melting point of the fatty acid ester pearlescing agent and below the boiling 20 point of the solubilizing agent. While the temperature range is so maintained and the solubilizing agent is in a liquid state, the pearlescing agent is solubilized therein to form a pearlescing base.

25 The liquid medium of the composition is heated to and maintained at a temperature above that of the melting point of the fatty acid ester present in the pearlescing base and below the boiling point of the liquid medium. The heated pearlescing base 30 and heated liquid medium are thereafter admixed while the pearlescing base is a liquid state. The temperature of the admixture is thereafter reduced to a temperature range below the melting point of the pearlescing agent to form a substantially pearlescent

-7-

shampoo having the pearlescing agent suspended therein in a crystalline state.

In a preferred embodiment, an improved  
pearlescent shampoo prepared by the method of this  
5 invention comprises, per 100 parts by weight thereof,  
water having dispersed therein about 5 to about 20  
parts by weight of a cleansing surface active agent,  
about 0.20 to about 5 parts by weight pearlescing  
10 base comprised of a fatty acid ester pearlescing  
agent, the ester being presolubilized in the manner  
disclosed herein with a substantially anhydrous  
solubilizing agent at a weight ratio of from about 4  
to 15 parts of solubilizing agent per part of ester.  
The pearlescent shampoo does not separate into a  
15 distinct phase during storage at ambient room  
temperature.

The present invention has several benefits  
and advantages. One benefit is that pearlescent  
shampoos prepared in the manner disclosed herein  
remain in a pearlescent condition at higher  
20 temperatures, such as those encountered during summer  
storage conditions or in a store window display, than  
do pearlescent shampoos prepared in a conventional  
manner. Further, these shampoos return to a  
25 pearlescent condition at ambient room temperature  
after being heated to a temperature above the melting  
point of the fatty acid ester pearlescing agent  
without the need of cooling with agitation.

A further benefit of this invention is that  
30 the pearlescing agent does not substantially  
interfere with the lathering of the shampoo as do  
ethylene glycol mono- and di-stearates when each  
material is present in an amount sufficient to  
pearl esce.

-8-

A still further benefit is that a shampoo prepared by the method of this invention develops an elegant pearlescence within a practical crystallization period of within 5 days' aging at a 5 temperature below the melting point of the fatty acid ester pearlescing agent. Additionally, the pearly effect is of iridescent-like quality on swirling, shaking, stirring, or otherwise similarly disturbing the shampoo without using the particulate pearlescers 10 normally required for this effect.

An even further benefit is that a pearlescent shampoo of this invention has a viscosity that remains substantially unchanged once pearlescence has developed.

15 A shampoo prepared according to the Indirect method of this invention has an added advantage in that the time for pearlescence to develop can be accelerated. This is accomplished by dispersing a low level of a pearlescence accelerating agent into 20 the shampoo composition immediately prior to reducing the temperature of the shampoo below the melting point of the pearlescing agent.

Yet another benefit of the present invention is that the pearlescing agent is not abrasive to the 25 hair or scalp during the shampooing process unlike comminuted, particulate pearlescers.

Still further benefits and advantage of the present invention will be apparent to those skilled in the art from the detailed description of the 30 invention, examples and claims that follow.

#### Detailed Description of the Invention

The present invention relates to aqueous shampoo compositions that are pearlescent.

35 Pearlescence in these compositions is achieved

-9-

through a novel presolubilized pearlescing agent; i.e., a fatty acid ester whose fatty acid portion is derived from a saturated ( $C_{16}$ - $C_{22}$ ) fatty acid and whose alcohol portion is derived from a saturated (C<sub>14</sub>-C<sub>22</sub>) fatty alcohol, preferably a saturated (C<sub>14</sub>-C<sub>18</sub>) fatty alcohol. The pearlescing agent is presolubilized with a substantially anhydrous solubilizing agent as defined hereinbefore in the manner described herein.

It has now been surprisingly found that substantially stable, non-separating pearlescent shampoo compositions, free of the phase-stabilizing ingredients utilized in my previous patent, can be prepared that contain a greater amount of fatty acid ester; i.e., in a range of about 1 to about 2.5 weight percent per 100 parts of shampoo in the Indirect method of this invention. Further, a pearlescent shampoo of this invention contains a pearlescing agent that is any one member of the group of fatty alcohol esters of fatty acids that are longer chain homologous esters of myristyl myristate presolubilized in the manner disclosed herein. It has further been found that pearlescence can be achieved within a commercially practical aging period of less than a week's time with low levels of at least one of these fatty acid esters; i.e., amounts falling in a range of about 0.01 to 0.2 parts by weight per 100 parts of shampoo composition.

On the other hand, when myristyl myristate was used as the sole fatty acid ester pearlescing agent present in the pearlescing base, pearlescence developed slowly over a period of several weeks, even when the amount of myristyl myristate in the shampoo was increased to a range of between about 0.25 to about 1 parts by weight per 100 parts of shampoo,

-10-

i.e.: the preferred range under the "Preston" method. However, myristyl myristate may be included at a low level in admixture with its homologues without delaying pearlescence formation in a shampoo 5 of this invention.

The fatty acid ester pearlescing agent is presolubilized with a substantially anhydrous solubilizing agent by blending the solubilizing agent and ester at a ratio of about 1 to about 25 parts by 10 weight of solubilizing agent per part of ester, preferably about 4 to about 15 parts by weight.

Prior to said blending, the solubilizing agent is in a liquid state and is at a temperature above the melting point of the fatty acid ester and below the 15 boiling point of the solubilizing agent. The blend is maintained within this temperature range until the ester is solubilized. This solubilization process provides a substantially anhydrous pearlescing base. It is to be understood that any reference hereinafter 20 to "pearlescing base" or "solubilizing agent" refers to a substantially anhydrous pearlescing base or substantially anhydrous solubilizing agent.

More particularly, the present invention further relates to a method of suspending the 25 pearlescing agent in a crystalline state in a shampoo as disclosed herein using a pearlescing base.

The method of this invention is referred to herein as an "Indirect" method, since a substantially anhydrous pearlescing base, containing a 30 presolubilized pearlescing agent and its solubilizing agent is prepared separately from the main body of the shampoo. The pearlescing base, so prepared, is subsequently admixed with the main body of the shampoo under the temperature and conditions

-11-

described in detail hereinbelow in the Methods  
Section A.

The upper limit of the temperature used for preparing a pearlescent shampoo by the Indirect 5 method has not been fully explored. However, as a matter of common expediency, a temperature above the melting point of the fatty acid ester pearlescing agent and below the boiling point of the solubilizing agent is used for preparing the pearlescing base. 10 Likewise, the liquid medium is heated to a temperature above the melting point of the fatty acid ester present in the pearlescing base and below the boiling point of the liquid medium, prior to admixing the pearlescing base therein, while the pearlescing 15 base is still in a liquid state.

Those skilled in the art will recognize that the upper limit of the temperature to which the liquid medium is heated is preferably below the boiling point of water or of the lowest boiling 20 ingredient in the shampoo composition present at the time the liquid medium and the pearlescing base are admixed. In actual practice a useful temperature is in the range of about 40°C to about 85°C (about 104°F to about 185°F), preferably in the range of about 25 40°C to about 60°C (about 140°F), for preparing a shampoo of this invention.

A conventional method of preparing a pearlescent shampoo, i.e., by adding an unsolubilized pearlescing agent directly to the main body of the 30 shampoo, is referred to herein as a "Direct" method. This method is also described in detail hereinbelow in Section A.

It is to be understood that the term "main body" of the shampoo means the "liquid medium" 35 portion that comprises water having dispersed therein

-12-

cleansing surface-active agents and optional shampoo components other than the pearlescing agent, as described herein.

The amount of pearlescing base present per  
5 100 parts of shampoo may be from between about 0.1 to about 20 parts by weight, preferably from between about 0.1 to about 10, more preferably from between about 0.5 to about 5 parts by weight. So long as the ratio of fatty acid ester to solubilizing agent  
10 comprising the pearlescing base falls within the range of this invention, the upper limit on the amount of pearlescing base used is more a matter of convenience and economy.

It is to be understood that the term "fatty acid ester" is used herein to refer to useful fatty alcohol esters of fatty acids whose fatty acid portion is derived from a saturated ( $C_{16}$ - $C_{22}$ ) fatty acid and whose alcohol portion is derived from a saturated ( $C_{14}$ - $C_{22}$ ) fatty alcohol. The fatty acid esters useful herein are thus, longer chain higher melting homologues of myristyl myristate. The fatty acid esters may be derived from natural or synthetic materials, and are crystalline solids preferably having a melting point above about 35°C (above 95°F), 20 more preferably above 40°C (above 104°F).

The word "fatty" is used herein to refer to carbon atom chains that contain about 8 to about 22 carbon atoms. More specifically, the word "fatty" is used in conjunction with pearlescing esters whose 30 carboxylic acid chains are derived from acids containing 16-22 carbon atoms, and whose alcohol chains contain 14-22 carbon atoms. The word "fatty" is also utilized for surfactants, solubilizing agents and other ingredients that may contain chains of 35 about 8 to about 22 carbon atoms.

-13-

The fatty acid ester content per 100 parts of pearlescing base may be in an amount corresponding to about 0.01 to about 2.5 parts by weight of the finished shampoo composition, preferably in an amount 5 of about 0.01 to about 1.0 parts by weight, more preferably at an amount of about 0.05 to about 0.8 parts by weight.

The lower limit of fatty acid ester appears to be of import to the novel pearlescing of the 10 shampoo of this invention, while the upper limit relates more to convenience. Quantities of fatty acid ester greater than 2.5 parts by weight per 100 parts of shampoo composition may be used in preparing a pearlescing base of this invention, but it is 15 wasteful to use such quantities.

Exemplary useful fatty acid esters include, but are not limited to, myristyl palmitate, myristyl stearate, cetyl myristate, cetyl palmitate, cetyl stearate, stearyl myristate, stearyl palmitate, 20 stearyl stearate, stearyl behenate, and mixtures thereof. Particularly preferred esters are myristyl stearate, stearate stearate, stearyl myristate, cetyl palmitate and stearyl palmitate. A small amount of myristyl myristate may be included in a pearlescing 25 base containing one or more of the foregoing fatty acid esters, if desired.

The solubilizing agent for the fatty acid ester may itself be a cosmetically useful material but its cosmetic functionality is not essential to 30 the invention. For example, the substantially anhydrous solubilizing agent may aid in boosting the foam produced by the shampoo or may be an auxiliary cleansing surface active agent or an auxiliary cosmetic adjuvant for conditioning the hair.

-14-

The total amount of solubilizing agent present per 100 parts of shampoo may be from about 0.01 to about 15 parts by weight, preferably from about 1.0 to about 10, more preferably from about 2.5 5 to about 5 parts by weight. Persons skilled in the art will appreciate that the upper limit of the amount of the solubilizing agent used is a matter of convenience and economic prudence, so long as the ratio of fatty acid ester to solubilizing agent falls 10 within the teachings of this invention.

Exemplary solubilizing agents that are substantially anhydrous include, but are not limited to, natural or synthetic linear or branched chain polyoxy(C<sub>2</sub>-C<sub>4</sub>) alkylene derivates of (C<sub>8</sub>-C<sub>22</sub>) 15 fatty alcohols containing from about 1 to about 50 oxyethylene units per molecule, or oxypropylene units per molecule, or oxyethylene/oxypropylene condensation units per molecule, preferably from about 1 to about 25 units, more preferably from about 20 1 to about 10 oxyethylene, or oxypropylene, or oxyethylene/oxypropylene units per molecule, polyoxyethylene derivatives of (C<sub>8</sub>-C<sub>22</sub>) alkyl phenols containing an average of from about 3 to about 150 oxyethylene units per molecule, preferably 25 from about 3 to about 100, more preferably from about 3 to about 10 oxyethylene units per molecule, copolymers of ethylene oxide and propylene oxide, mono- and di-(C<sub>2</sub>-C<sub>4</sub>) alkanolamides of (C<sub>8</sub>-C<sub>22</sub>) fatty acids, alkali metal salts of fatty 30 (C<sub>14</sub>-C<sub>18</sub>) alkyl sulfates and the like.

The foregoing solubilizing agents are commercially available from a number of well-known suppliers, that are extensively listed in a) the CTFA Cosmetic Ingredient Dictionary (hereinafter CTFA 35 Dictionary), Third Edition, published by the

-15-

Cosmetic, Toiletry and Fragrance Association (1982),  
and in b) the 1984 Edition of the Cosmetic Bench  
Reference, an encyclopaedia of cosmetic materials  
(hereinafter Bench Reference), found in the August  
5 1984 edition and its addenda found in the December,  
1984 edition of Cosmetics & Toiletries Magazine. The  
disclosures of the CTFA Dictionary and Bench  
Reference are incorporated herein by reference.

Illustrative fatty alcohols containing  
10 oxyethylene units are the polyethylene glycol ethers  
of lauryl alcohol given the CTFA names of laureth-1  
through laureth-10, the polyethylene glycol ethers of  
stearyl alcohol given the CTFA names of steareth-2  
through steareth-100, and the polyethylene glycol  
15 ethers of a mixture of synthetic ( $C_9-C_{15}$ ) fatty  
alcohols given the CTFA names Pareth-15-5, through  
Pareth-15-12, Pareth-25-3 through Pareth-25-12,  
Pareth-45-7 through Pareth-45-13, Pareth-91-6 and  
Pareth-91-8 sold under the trademark Tergitol by  
20 Union Carbide Corporation, Danbury, CT, and under the  
trademark Neodol by Shell Chemical Company, where the  
last numeric suffix denotes the average number of  
oxyethylene units per molecule.

Illustrative fatty alcohols containing  
25 oxypropylene units are the polypropylene glycol  
ethers of cetyl alcohol given the CTFA names PPG-10  
cetyl ether, PPG-28 cetyl ether and PPG-50 cetyl  
ether, where the numeric suffix denotes the average  
number of oxypropylene units per molecule.

30 Illustrative fatty alcohols containing  
oxyethylene/oxypropylene units are the  
polyoxypropylene/polyoxyethylene ethers of cetyl  
alcohol given the CTFA names PPG-4-ceteth-1,  
PPG-4-ceteth-10, PPG-5-ceteth-20, PPG-8-ceteth-2 and  
35 the like, where the first numeric suffix denotes the

-16-

average number of polyoxypropylene units and the second numeric suffix denotes the average number of polyoxyethylene units per molecule.

Illustrative alkyl phenols containing  
5 oxyethylene units are the polyoxyethylene nonyl phenyl ethers given the CTFA names nonoxynol-2 through nonoxynol-100, where the numeric suffix denotes the average number of oxyethylene units. Illustrative copolymers of ethylene oxide and propylene oxide are  
10 the block polymer series found under the CTFA names POLOXAMER 101 through POLOXAMER 407.

Illustrative alkanolamides are the monoethanolamine lauric acid amide given the CTFA name Lauramide MEA, the diethanolamine coconut fatty  
15 acid condensate given the CTFA name, Cocamide DEA and the monoisopropanolamine myristic acid amide given the CTFA name Myristamide MIPA.

Illustrative alkali metal salts of fatty alkyl sulfate include sodium lauryl sulfate, sodium  
20 myristyl sulfate and sodium tallow sulfate found in the CTFA Dictionary under these names.

It is to be understood that the foregoing materials are intended to be illustrative, and are not limiting.

25 Further substantially anhydrous compounds that may be suitable solubilizing agents also include, but are not limited to, anhydrous cosmetic emollients, such as polyethylene glycols, isopropyl palmitate, isopropyl myristate, mineral oils,  
30 silicone oils and the like.

It should be understood that a useful amount of the foregoing materials can be easily determined by a skilled formulator to avoid interfering with the foaming and cleansing action normally expected of a  
35 shampoo composition. It should be further understood

-17-

that a pearlescing base of this invention may contain at least one of the forementioned solubilizing agents or mixtures thereof.

Particularly useful solubilizing agents are  
5 also nonionic surface active agents supplied as 100 percent active solids or fluids. As such, they may be selected for their known cosmetic functionality as well. In such instances, the solubilizing agent may also be present among the surface active agents  
10 present in the liquid medium of the shampoo.

Among suitable nonionic surface active agents, those that are particularly preferred include, but are not limited to, polyoxyethylene derivatives of (C<sub>12</sub>-C<sub>18</sub>) fatty alcohols  
15 containing from about 4 to about 25 oxyethylene units per molecule, such as polyoxyethylene (20) cetyl ether and polyoxyethylene (4) lauryl ether; polyoxyethylene derivatives of octyl- and nonylphenols containing an average of from about 4 to  
20 about 30 oxyethylene units, such as polyoxyethylene (9) octylphenyl ether and polyoxyethylene (15) nonylphenyl ether, mono- and di-(C<sub>2</sub>-C<sub>4</sub>) alkanolamides of fatty acids having from about 12 to 18 carbon atoms such as N-(2-hydroxyethyl) coco fatty  
25 acid amide, N,N-bis-(2-hydroxyethyl) coco fatty acid amide, N, N-bis-(2-hydroxyethyl) lauramide, and the like.

When the foregoing nonionic surface active agents are utilized as the substantially anhydrous solubilizing agent of this invention, they are excluded from the calculation of the total amount of cleansing surface active agent present in the liquid medium of the shampoo. Such surface active agents are discussed hereinafter.

-18-

The substantially anhydrous solubilizing agents disclosed hereinabove are principally used to solubilize the fatty acid ester pearlescing agent in forming the pearlescing base. However, advantage may 5 also be taken of their foaming, wetting, detergent, dispersing, emulsifying or emollient conditioning properties.

In addition to water, which can be from about 60 to about 90 parts by weight of the shampoo 10 composition, the aqueous liquid medium of the shampoo includes an amount of surface active agent dispersed therein that is effective for cleansing.

A useful amount of total surface active agent effective for cleansing is present in 100 parts 15 of a shampoo of this invention in the range of from between about 5 to about 25 parts by weight of the shampoo, preferably from about 5 to about 20 parts by weight. It is to be understood that the total amount 20 of surface active agent in parts by weight denotes active parts by weight material; the upper limit being selected as a matter of convenience and economy.

The cleansing surface active agents useful in shampoos of this invention can be anionic, cationic, nonionic, zwitterionic or amphoteric. 25 Typically useful surface active agents contain at least one fatty 12 to 22 carbon atom chain. The individual surface active agents can also be used in mixtures of two or more surface active agents or their salts.

30 The phrase "cleansing surface active agents" refers herein to those surface-active agents whose primary cosmetic function in the shampoo is a detergative one of removing soil from the hair.

Exemplary anionic surface active agents 35 include but are not limited to the alkali metal, a

-19-

primary, secondary or tertiary ( $C_2-C_4$ ) alkanolamine or ammonium salts of the following: a fatty ( $C_8-C_{18}$ ) alkyl sulfate, a fatty ( $C_8-C_{18}$ ) alkyl polyoxyethylene sulfate having from about 1 to about 4 oxyethylene units, an alkyl ( $C_{12}-C_{18}$ ) sarcosinate, an alkyl ( $C_{12}-C_{18}$ ) isethionate, an alkyl ( $C_{12}-C_{18}$ ) taurate, a mono- or di-alkyl ( $C_8-C_{12}$ ) sulfosuccinate, a fatty ( $C_{12}-C_{16}$ ) alpha-olefin sulfonate and mixtures thereof.

Among the anionic surface active agents that are useful, those that are particularly preferred are ammonium lauryl sulfate, sodium lauryl sulfate, ammonium lauryl polyoxyethylene sulfate having an average of 1-4 oxyethylene units per molecule, sodium lauryl polyoxyethylene sulfate having an average of 1-4 oxyethylene units per molecule, triethanolamine lauryl sulfate, triethanolamine lauryl polyoxyethylene sulfate having an average of 1-4 oxyethylene units per molecule, sodium lauroylsarcosinate, sodium lauroyl isethionate, sodium methyl cocoyltaurate, sodium cetylstearyl sulfate, disodium lauryl sulfosuccinate, sodium di-(2-ethylhexyl) sulfosuccinate; and a sodium alpha-olefin sulfonate prepared from mixed olefins having about 12 to 18 carbon atoms in the fatty chain, and mixtures thereof.

Additional suitable anionic surface active agents include the alkali metal, primary, secondary and tertiary alkanolamine, and ammonium soaps of natural or synthetic linear and branched chain fatty acids having 12 to 22 carbon atoms in the fatty chain. Exemplary fatty acids include lauric, myristic, palmitic, stearic, oleic, ricinoleic, capric, arachic, behenic, and isostearic acids,

-20-

coconut fatty acids, hydrogenated coconut fatty acid and tallow acids. Illustrative soaps are potassium oleate, sodium laurate, ammonium stearate, monoethanolamine palmitate, diethanolamine oleate, 5 and triethanolamine isostearate.

Anionic surface active agents may be present in an amount of about 5 to about 25 parts by weight, preferably in an amount of about 5 to about 20 parts by weight per 100 parts of the shampoo.

10 Exemplary cationic surface active agents include but are not limited to quaternary nitrogen-containing compounds that include the following structures: (1) one fatty chain and three lower alkyl (one to four carbon atoms) substituents on the 15 quaternary nitrogen such as stearyltrimethylammonium chloride and cetyltrimethylammonium bromide; (2) one fatty chain, two lower alkyl groups and a benzyl group such as cetyltrimethylbenzylammonium bromide; (3) two fatty chains and two lower 20 alkyl groups such as dimethyldi-(hydrogenated tallow)-ammonium chloride; and the like.

Cationic surface active agents may be present in 100 parts of shampoo in an amount of about 0.1 to about 10 parts by weight, preferably in an 25 amount of about 1 to about 5 parts by weight, and are preferably contained in nonionic, zwitterionic or amphoteric based shampoos.

Exemplary zwitterionic or amphoteric surface active agents include but are not limited to betaines 30 and sultaines such as a) alkyl betaines where the alkyl group is derived from coconut fatty acids or tallow acids, found in the CTFA Dictionary under the names coco-betaine and dihydroxyethyltallow glycinate; b) alkylamido betaines where the alkyl radical and its bonded carbonyl group are preferably 35

-21-

derived from a saturated ( $C_{12}$ - $C_{18}$ ) fatty acid,  
found in the CTFA Dictionary under the names  
lauramidopropyl betaine, myristamidopropyl betaine,  
stearamidopropyl betaine and cocamidopropylbetaine;  
5 c) sulfobetaines derived from ( $C_{12}$ - $C_{18}$ ) fatty  
acids found in the CTFA Dictionary under the names  
cocamidopropylhydroxysultaine, and oleamido  
propylhydroxy sultaine; and d) the alkyl sultaine  
derived from a  $C_{18}$  fatty acid found in the CTFA  
10 Dictionary under the name coco-sultaine.

Further illustrative amphoteric surface active agents include but are not limited to fatty ( $C_{10}$ - $C_{18}$ ) chain amphocarboxylates found under the names cocoamphocarboxypropionate,  
15 cocoamphocarboxypropionic acid, cocoamphocarboxypropylsulfonate, cocoamphoglycinate, cocoampho-  
propionate, cocoamphocarboxyglycinate and  
cocoamphopropylsulfonate in the CTFA Dictionary and Bench Reference. Also included among the amphoteric  
20 surface active agents are: N-( $C_{12}$ - $C_{18}$ ) alkyl mono- or di-propionates, such as sodium laurimino-dipropionate, disodium-N-tallow-  
beta-iminodipropionate, disodium-N-lauryl-  
beta-imino-dipropionate and N-lauryl, myristyl-  
25 beta-aminopropionic acid, N-coco-beta-aminopropionic acid and sodium-N-coco-beta-aminopropionate; a fatty derivative of glycine, such as laurylaminopropyl-  
glycine, and a fatty derivative of asparagine, such as an N, N-dialkylaminoalkyl-N-2 alkyl asparagine  
30 wherein the alkyl group contains from about 1 to about 22 carbon atoms.

Useful amounts of zwitterionic or amphoteric surface active agents present in 100 parts of shampoo are typically from about 0.5 to about 25 parts by  
35 weight, preferably from about 0.5 to about 10, more

-22-

preferably from about 0.5 to about 5 parts by weight.

The substantially anhydrous nonionic surface active agents described hereinbefore as useful solubilizing agents may be optionally included among 5 the cleansing surface active agents when they are not being utilized as the solubilizing agent of this invention.

It is to be understood that a nonionic surface active agent that is normally supplied at 10 less than 90 percent active in aqueous solution is suitable for use as part of the total amount of cleansing surface active agent in shampoos of this invention, but is not suitable for use as the substantially anhydrous solubilizing agent for the 15 pearlescing agent as hereinbefore defined.

Further useful cleansing nonionic surface active agents typically available as aqueous solutions include fatty acid esters of sorbitol, fatty acid esters of sucrose, and nonionic compounds 20 having a cationic character, such as the fatty ( $C_{12}-C_{18}$ ) tertiary amine oxides, such as dimethylcocoamine oxide and dimethylstearylamine oxide. Useful amounts of nonionic surface active agents present per 100 parts of shampoo may be about 25 0.5 to about 25 parts by weight, preferably about 1 to about 10 parts by weight.

A shampoo of this invention can be prepared by providing a liquid medium and admixing therein from between about 0.1 to about 20 parts by weight 30 pearlescing base per 100 parts of shampoo, preferably from between about 0.1 to about 15 parts by weight, more preferably from between about 0.2 to about 5 parts by weight pearlescing base in the manner described for the Indirect method of this invention 35 hereinbelow in the method section A.

-23-

The shampoo compositions of this invention can be utilized as prepared wth the previously mentioned components. However, a further thickening, viscosity-building agent may be added to the shampoo 5 to adjust the viscosity to a range of between about 500 to about 15,000 centipoises measured at 80° F. (about 28° C.), and more preferably to between about 2000 to about 10,000 centipoises.

Preferred viscosity-building agents include 10 cellulosic thickeners, such as a water-dispersible alkyl and hydroxyalkyl substituted polysaccharide wherein the alkyl substituent is selected from the group consisting of methyl and ethyl, and the hydroxyalkyl substituent is selected from the group 15 consisting of 2-hydroxyethyl, 2-hydroxypropyl and 2-hydroxybutyl; and salt, such as sodium chloride or ammonium chloride.

In preferred practice, the viscosity-building ingredient is a cellulose derivative 20 containing methyl and 2-hydroxypropyl substituents on the anhydroglucose rings of the cellulose, herein-after referred to as the "cellulosic thickener."

Several preferred cellulosic thickeners are available commercially. Particularly preferred among 25 these thickeners are the cellulose derivatives sold under the trademark METHOCEL J Series and METHOCEL E Series by the Dow Chemical Company. For example, METHOCEL J5MS is reported by its manufacturer to contain about equal amounts of methyl and 30 2-hydroxypropyl substituents on the anhydroglucose rings. METHOCEL E4M is reported to have methyl groups on about 80 to 95 percent of the ring substituents, with hydroxypropyl groups constituting the remaining ring substituents.

-24-

The particularly preferred cellulosic thickening agent is utilized in an amount of about 0.25 to about 1.5 parts by weight per 100 parts of the shampoo composition. More preferably, this  
5 thickener is present at about 0.5 to about 1 weight percent.

The specific amount of viscosity-building salt that is useful for a shampoo of this invention is a function of the selection and amount of the  
10 particular ingredients present as well as of the desired shampoo viscosity. However, the adjustment of viscosity by admixture of salts is also well known and within the skill of those used to preparing shampoos.

The pH value of the compositions of this  
15 invention may be in a range of from between about 4 to about 9, preferably from between about 5 to about 7, more preferably from between about 6.0 to about 6.5.

Additional ingredients such as coloring agents, perfumes, preservatives, cosmetic oils,  
20 polymers, heavy metal sequestrants and the like can also be incorporated into the shampoo of this invention for their generally recognized purposes.

Briefly described, in the Indirect method of this invention, the pearlescing base is prepared  
25 separate and apart from the main body of the shampoo. The pearlescing base is prepared by blending and solubilizing at least one fatty acid ester pearlescing agent with at least one solubilizing agent. Pearlescence can be obtained by  
30 utilizing more than one fatty acid ester in the pearlescing base as a matter of convenience and economy.

This is accomplished by heating the solubilizing agent to a temperature above the melting  
35 point of the fatty acid ester utilized and below the

-25-

boiling point of the solubilizing agent. Where two or more fatty acid esters are utilized, the solubilizing agent is heated to the melting point of the highest melting fatty acid ester present in the mixture of esters. While the solubilizing agent is so heated and in a liquid state, as where a wax-like solid solubilizing agent is used, the fatty acid ester is blended with the solubilizing agent and dispersed therein until the ester is solubilized.

10 The resulting presolubilized pearlescenting agent solution is referred to herein as the pearlescenting base. The pearlescenting base is provided at a temperature above the melting point of the fatty acid ester for admixture with the liquid medium.

15 The liquid medium of the shampoo that contains the water and surface active cleansing agent is prepared by conventional techniques. The liquid medium is provided at a temperature, prior to admixing the pearlescenting base therein, above the melting point of the fatty acid ester present in the pearlescenting base and below the boiling point of the liquid medium. Persons skilled in the art will recognize that the melting points of esters and solubilizing agents useful herein are not precise.

20

25 The shampoo composition is prepared by admixing the heated pearlescenting base into the heated liquid medium, while maintaining the temperature of the admixture above the melting point of the fatty acid ester, or above the highest melting point of the highest melting fatty acid ester in a mixture of such esters and below the boiling point of the lowest boiling ingredient.

Once the liquid pearlescenting base and liquid medium are admixed, the resulting admixture is agitated until it is substantially homogeneous and

30

35

-26-

substantially transparent to visible light. It is thought that the observed shampoo transparency is due to the formation of a solution of the presolubilized ester, or a dispersion of very finely divided liquid crystalline pearlescent agent being formed in the liquid medium at this stage of the method.

The temperature of the transparent admixture is thereafter gradually reduced to a temperature lower than the melting point of the fatty acid ester 10 pearlescent agent or lowest melting fatty acid ester present to form the pearlescent shampoo. A gradual temperature reduction is thought to lead to crystallization of the ester and its suspension therein in a crystalline state to effect the observed 15 pearlescence.

Optionally, the pearlescent base may be prepared as previously described, and thereafter cooled to ambient room temperature and stored as a 20 pearlescent base concentrate for use in a future shampoo batch or in a cosmetic emulsion, such as skin care lotions, creams or gels or in hair care products, such as hair waving and hair setting lotions, and the like. It must, however, be reheated to a liquid state as described above, prior to use.

It is noted that when pearlescent shampoos 25 are prepared by a conventional direct method, such as that of my before-described U.S. patent, crystallization of the pearlescent agent normally leads to precipitation or phase separation of the 30 crystals so produced. Here, however, cooling and presumed crystallization typically does not lead to phase separation of the crystalline fatty acid ester pearlescent agent from the liquid medium, unless the ratio of solubilizing agent is less than about 4 35 parts by weight per part of ester. However, the

-27-

particularly preferred cellulose thickeners described hereinbefore can also stabilize the pearlescent shampoo and retard the phase separation of compositions outside the preferred range, as noted  
5 in my before-described patent.

In a conventional "direct" method of preparing a pearlescent shampoo, the pearlescing agent is typically added, preferably in a molten form, directly to the main body of the shampoo.  
10 Typical amounts used are between 1 and 2 weight percent of the shampoo and those amounts are added at a temperature above the melting point of the pearlescing agent.

Other ingredients, such as perfumes,  
15 coloring agents, pH adjusting agents and preservatives typically included in a shampoo are normally added to the product after it has been cooled to a temperature below about 50°C (about 122°F), preferably below about 45°C (about 113°F).  
20 As a practical matter, it is also prudent to wait until this step of the process to make any adjustments in the pH value of the product, because certain commonly used surface active agents may be easily hydrolyzed at acidic pH values and/or at  
25 elevated temperatures.

Shampoo compositions prepared by the method of this invention consistently develop an attractive, excellent, aesthetically elegant pearlescence that has an iridescent-like quality when the composition  
30 is shaken, stirred, swirled or otherwise similarly disturbed. Pearlescence was noted within an aging period of about five days, preferably within a period of about four days, more preferably within a period of about three days.

35 Additionally, it was surprisingly noted that

-28-

once optimum pearling takes place in a shampoo of this invention, the viscosity remains thereafter substantially unchanged.

A further surprising finding is that the  
5 period within which substantial pearling takes place can be further accelerated to within 24 hours. This is accomplished by dispersing therein a low level of a crystalline pearlescence accelerating agent to the heated admixture immediately prior to reducing the  
10 temperature of the admixture below the melting point of the fatty acid ester pearlescing agent. The pearlescence accelerating agent is added to the admixture while the latter is at a temperature above the melting point of the fatty acid ester present in  
15 the pearlescing base as described earlier.

Useful pearlescence accelerating agents include, but are not limited to, glycol mono- and di-esters of ( $C_{12}$ - $C_{22}$ ) fatty acids, and ( $C_2$ - $C_4$ ) alkylene glycol esters of ( $C_{12}$ - $C_{22}$ )  
20 fatty acid, magnesium stearate, zinc stearate and the like. The pearlescence accelerating agent is preferably present in a crystalline state when it is included in the heated shampoo.

Useful amounts of pearlescence accelerating agent are in the range of from between about 0.01 to about 0.2 parts by weight, preferably from between about 0.05 to about 0.1 parts by weight per 100 parts of the shampoo. A particularly preferred pearlescence accelerating agent is ethylene glycol monostearate.  
25  
30

Pearlescence accelerating agents as described hereinabove may be used when time constraints require a speedier preparation of shampoo for shipping or when equipment usage priority demands  
35 are high.

-29-

The mechanism by which the pearlescence accelerating agent operates is also not fully understood. In the absence of the fatty acid ester in the pearlizing base of this invention, the preferred 5 pearlescence accelerating agent, which is itself a known pearlescing agent, does not induce pearlescence. Nevertheless, when used in the non-pearlescing amounts described before, the pearlescence accelerating agent enhances the pearlizing 10 effect.

The present invention is further illustrated in the examples that follow.

BEST MODE FOR CARRYING OUT THE INVENTION

15       A. Method for Preparing Pearlescent Shampoo

In the Examples that follow hereinbelow, reference will be made to a method of preparing a pearlescent shampoo of this invention, hereinafter "Indirect" method, and to a conventional method, 20 hereinafter "Direct" method. For convenience, the detailed procedural steps of these methods are illustrated below for a typical shampoo composition of this invention.

Shampoo Ingredients in order of addition

25       Liquid Medium

1. Water
2. Viscosity increasing agent (gum, salt thickeners)
3. Heavy metal sequestering agent
4. Cleansing surface-active agents (anionic, nonionic, zwitterionic, cationic or mixture thereof)

Pearlescing Base (Indirect Method)

5. Substantially anhydrous solubilizing

-30-

agent for fatty acid ester pearlescing  
agent (absent in Direct Method)

6. fatty acid ester (or mixture thereof)  
pearlescing agent

5        Other ingredients

7. Preservatives

8. Perfume (colorants, if desired)

9. pH adjusting agent to a value of about  
4 to about 9, preferably from about 5  
10        to about 7, more preferably from about  
6.0 to about 6.5.

Indirect Method of Preparing Pearlescent Shampoo

(a) In one container, provide a liquid  
medium containing ingredient #1, heat to about 40°C  
15        (about 104°F), and add while dispersing with  
mechanical stirring in the following order,  
ingredients #2, #3, and #4.

(b) Heat the liquid medium provided in step  
(a) to about 60°C (about 140°F).

20        (c) In a second container provide a  
pearlescing base by adding ingredient #5 to the  
second container, heating it to a temperature of  
about 60°C (about 140°F) and maintaining it at said  
temperature. Presolubilize ingredient #6 blending it  
25        with the heated ingredient #5 in the second  
container. (Ingredient #6 may either be premelted or  
added in the form of a solid as convenient).  
Mechanical stirring may be utilized if desired. The  
solubilization period lasts until ingredient #6 is  
30        substantially solubilized and the pearlescing base so  
formed is homogeneous. (When ingredient #5 is a  
mono- or a di-alkanolamide of a fatty acid, this  
heated solubilization step is preferably carried out  
in a time period of less than 2 hours to avoid ester  
35        hydrolysis.)

-31-

(d) Admix the heated pearlescing base provided in step (c) under mechanical stirring with the heated liquid medium provided in step (b) in the first container.

5 (e) Maintain the temperature of the admixture provided in step (d) at a temperature of about 60°C (about 140°F) for a period of about 10 minutes or until the admixture is substantially homogeneous and substantially transparent to visible  
10 light.

(f) Cool the admixture provided in step (e) to a temperature of about 50°C (about 122°F).

15 (g) Add to the admixture provided in step (f), and disperse with mechanical stirring in the following order, ingredients #7, #8 and #9 to provide a shampoo.

(h) Cool the shampoo provided by step (g) to ambient room temperature and transfer the product to a transparent container for aging storage.

20 (i) Measure the viscosity of a freshly prepared shampoo sample in centipoises using the well-known rotational Brookfield Viscometer at a temperature of about 26°C (about 80°F) and record the values.

25 (j) Examine the appearance of the freshly prepared shampoo and record the pearlescence level.

(k) Repeat steps (i) and (j) at periodic intervals during a period of aging storage and compare against the initially recorded observation.

30 Direct Method of Preparing Pearlescent Shampoo

(a) In one container, provide a liquid medium containing ingredient #1, heat to about 40°C (about 104°F), and add, while dispersing with mechanical stirring, in the following order

35 ingredients #2, #3 and #4 (and #5, if ingredient #5

-32-

is also a cleansing surface-active agent).

(b) Follow the procedure in step (b) of the Indirect method.

5 (c) Add ingredient #6 to the heated liquid medium provided in step (b) in either solid form or in pre-melted form as convenient.

(d) Follow the procedural steps (e)-(k) of the Indirect method.

10 Example 1: Pearlescent Shampoos

This Example illustrates the benefits of preparing a pearlescent shampoo composition using the Indirect method of this invention compared to using the Direct method. The procedure of each method described in the foregoing Section A was followed.

15 A shampoo formula labeled "A" was prepared with varying amounts of fatty acid ester present in the pearlescing base. For purposes of illustrating the invention, a fatty acid alkanolamide, N,N-bis-(2-hydroxyethyl)coco fatty acid amide, was selected as the substantially anhydrous solubilizing agent.

20 This solubilizing agent, like the other ingredients, was commercially available from a number of well-known suppliers found in the previously 25 discussed CTFA Dictionary and Bench Reference.

The formula for shampoo "A" follows. For convenience, the ingredients are numbered to correspond with those of the typical shampoo composition described above in method Section A.

30

-33-

Formula A

<u>Shampoo Ingredients</u>		<u>Parts By Weight</u>
<u>Liquid Medium</u>		<u>(as is)</u>
1.	Water to 100 parts by weight	Q.S.
5	2. (a) Cellulose Thickener*	0.6
	2. (b) Ammonium chloride	0.5
10	3. Tetrasodium ethylenediamine-tetraacetic acid (39 percent active)	0.2**
	4. (a) Ammonium lauryl sulfate (30 percent active)	36.0**
	4. (b) Cocamidopropylhydroxysultaine*** (40 percent active)	1.5**
15	<u>Pearlescing Base</u>	
	5. N, N-bis-(2-hydroxyethyl)coco fatty acid amide****	2.5**
	6. Fatty acid ester	0.2-0.5
	<u>Other Ingredients</u>	
20	7. Preservative	Q.S.
	8. Perfume	Q.S.
	9. Citric acid (50 percent in water) added to pH 6.1-6.3	Q.S.
25	* The cellulose thickener was a hydroxypropyl-methylcellulose sold under the trademark METHOCEL J5MS by the Dow Chemical Company, Midland, MI.	
30	** Active parts by weight present in the finished shampoo were 0.078 for ingredient #3, 10.8 for ingredient #4(a) and 0.6 for ingredient #4(b)	
	*** Available commercially under the trademark VARION CAS supplied by Sherex Chemical Company, Inc., Dublin, OH.	
35	****An extensive listing of suppliers is found under	

-34-

the name Cocamide DEA in the CTFA Dictionary, and  
cocooyl diethanolamide in the Bench Reference.

A series of shampoos was prepared by the  
 5 Indirect method (I) replacing the water in formula A  
 by successive amounts of one of the fatty acid esters  
 identified hereinbelow. The concentration of ester  
 was varied from about 0.1 to 0.5 parts by weight  
 ester to provide a ratio in the range of from about 4  
 10 to about 15 parts by weight of amide per parts of  
 ester. A second similar series of shampoos using the  
 same ester was prepared by the Direct method (D)  
 except that ingredient #5 was included in the liquid  
 medium along with ingredients #4(a) and #4(b). The  
 15 foregoing sequence was followed for each member of  
 the following homologous series of fatty acid  
 esters. For comparison, Formula A was also prepared  
 without any fatty acid ester.

	<u>ESTER</u>	<u>FATTY CHAIN</u>	LENGTH OF	
			<u>MELTING POINT</u>	
			<u>°C</u>	<u>°F</u>
	Myristyl Myristate (MM)	C14-C14	37-38	98-100
	Myristyl Palmitate (MP)	C14-C16	44-46	111-115
25	Myristyl Stearate (MS)	C14-C18	45-46	113-115
	Cetyl Myristate (CM)	C16-C14	47-49	116-120
	Cetyl Palmitate (CP)	C16-C16	48-50	118-122
	Cetyl Stearate (CS)	C16-C18	48-50	118-122
	Stearyl Myristate (SM)	C18-C14	47-49	116-120
30	Stearyl Palmitate (SP)	C18-C16	54-56	129-132
	Stearyl Stearate (SS)	C18-C18	53-55	125-131

The results noted for each fatty acid ester  
 are summarized in the Table below along with

-35-

viscosity data and comments about pearlescence. The Brookfield viscosity of the freshly prepared shampoo was measured in centipoises (initial cps value), and at periodic intervals during the aging of the stored shampoo. The "final" viscosity value, therefore, denotes the value after which the measured viscosity remained substantially unchanged, and the period of aging relating thereto.

10

Pearlescent Shampoos

Series No.	Ester	Parts by Weight*	Method		Viscosity(cps)		Pearl- escence
			I	D	Initial	Final	
1)	MM	0.3-0.4	X	--	2300	4200	within (2 wks) 1-2 wks
15	2)	MM	0.3-0.4	--	X	1500	5500 within (1 wk) 1-3 days
20	3)	MP	0.2-0.4	X	--	3000	7000 within (1-2 1-4 days) days
25	4)	MP	0.2-0.4	--	X	6300	6800 None, (1 wk) 1-4 days (Notes a, b)
30	5)	MS	0.2-0.4	X	--	3000	7000 within (1-2 1-4 days) days, (Note c)

-36-

6)	MS	0.2-0.4	-- X	7000- 8500	7000- 8500	none to very (4 wks) slight, 5 4 wks, (Note b)
7)	CM	0.2 - 0.4	X --	3000	7000	within (1-2 days) 1-4 days, 10 (Note c)
8)	CM	0.2-0.4	-- X	7000- 8500	7000- 8500	none to very (4 wks) slight, 15 4 wks.
9)	CP	0.2-0.4	X --	3000	7000	within (1-2 days) 1-4 days, 20 (Note c)
10)	CP	0.2-0.4	-- X	7000- 8500	7000- 8500	none to very (4 wks) slight, 25 4 wks
11)	CS	0.2-0.4	X --	3000	7000	within (1-2 days) 1-4 days, 30 (Note c)
12)	CS	0.2-0.4	-- X	7000- 8500	7000- 8500	none to very (4 wks) slight, 35 4 wks

-37-

13) SM 0.2-0.4 X -- 3000 7000 within  
(1-2 1-4  
days) days,  
(Note c)

5

14) SM 0.2-0.4 -- X 7000- 7000- none to  
8500 8500 very  
(4 wks) slight,  
4 wks,  
(Note b)

10

15

15) SP 0.2-0.4 X -- 3000 7000 within  
(1-2 1-4  
days) days,  
(Note c)

16) SP 0.2-0.4 -- X 7000- 7000- none to  
8500 8500 very  
(4 wks) slight,  
4 wks

20

25

17) SS 0.2-0.4 X -- 3000 7000 within  
(1-2 1-4  
days) days,  
(Note c)

18) SS 0.2-0.4 -- X 7000- 7000- none to  
8500 8500 very  
(4 wks) slight,  
4 wks,  
(Note b)

30

-38-

\*When the parts by weight of ester per 100 parts of shampoo were below the lower limit shown, the level of pearlescence noted was less attractive in shampoos prepared by the Indirect method, and no pearlescence

5 was noted in shampoos prepared by the Direct method.

When the parts by weight exceeded the upper limit shown, i.e., about 0.45-0.50, the pearlizing process took about 1 day longer than the period noted for shampoos prepared by the Indirect method, and phase

10 separation was noted within a period of 7 days in shampoos prepared by the Direct method.

Note a. A medium level of pearlescence developed within 1 week.

Note b. Slight phase separation took place at week.

15 Note c. Pearl is elegant and stable.

The tabulated results show that a pearlescent shampoo having an attractive, elegant, stable pearl was consistently produced when the

20 Indirect method of this invention was used. The results further show that myristyl myristate, MM, while useful in the method of this invention developed pearlescence slowly when the Indirect method was used. MM was again found singularly more

25 suitable as a pearlescing agent when the more conventional Direct method was used. This behavior was in keeping with the teachings of my patent discussed hereinbefore.

The results of the Indirect method further show that an elegant stable pearl was produced within a commercially practical period of less than 5 days, when the amount of fatty acid ester, except for MM, fell within a range of from about 0.2 - 0.4 parts by weight per 100 parts of shampoo. MM, on the other hand, required the Direct method, and a lower limit

-39-

above 0.2 parts by weight per 100 parts of shampoo to produce suitable pearlescence within the same period of time.

Based on the elegant appearance of the  
pearlescence achieved within a period of within 4  
days using the Indirect method, the preferred parts  
by weight ratio of ester:amide (the solubilizing  
agent used herein) fell in the range of from between  
about 1:4 to about 1:15. The pearlescence obtained  
was elegant in that it had a highly refractive,  
iridescent quality, particularly when the shampoo  
composition was shaken, stirred, swirled or otherwise  
similarly disturbed.

A further finding was that the foaming and  
lathering properties of the pearlescent shampoos  
prepared by the indirect method were substantially  
the same as those of Formula A similarly prepared  
without the fatty acid ester present. The amide used  
is a generally recognized foam booster.

This result showed that a pearlescing agent,  
prepared as disclosed herein using a known amide foam  
booster as the solubilizing agent had no negative  
effect on either its cosmetic functionality, or on  
the overall performance expected of a shampoo  
composition in terms of foaming, cleansing and  
lathering normally associated therewith.

An even further finding was that the  
presolubilized fatty acid ester pearlescing agent  
prepared in the manner described herein remained  
suspended in a crystalline state. Thus, the ester  
was suspended in the shampoo to produce pearlescence  
without being dissolved by the cleansing surface  
active agents of the liquid medium, a problem  
commonly encountered.

-40-

Example 2 Pearlescent Shampoos

The formula for Shampoo "A" of Example 1 was utilized in this study, except that the solubilizing agent used was the fatty alkanolamide,

5 N-(2-hydroxyethyl) coco fatty acid amide supplied under the trademark MONAMID CMA by Mona Industries, Inc., Paterson, NJ.

This amide is commercially available from a number of other suppliers found under the CTFA 10 adopted name of Cocamide MEA in the CTFA Dictionary, and under the name cocoyl monoethanolamide in the Bench Reference.

A series of shampoos were prepared following the procedure of Example 1 by the Indirect method 15 only, using each of the fatty acid esters of Example 1, except MM.

An excellent stable pearl was produced within an aging period of about four days at a fatty acid ester content of from about 0.2-0.4 parts by 20 weight per 100 parts of shampoo. The initial Brookfield viscosity value was in the range of between about 1500 to 2000 cps. The final viscosity was in the range of between about 5000 to about 7000 cps and remained substantially unchanged thereafter.

25

Example 3 Pearlescent Shampoo

The formula for shampoo "A" of Example 1 was again utilized, except that the solubilizing agent used was the amide, N, N-bis-(2-hydroxyethyl)- 30 lauramide supplied under the trademark NINOL AA 62 EXTRA by the Stepan Company, Northfield, IL.

This amide is commercially available from a number of other suppliers found under the CTFA adopted name of lauramide DEA in the CTFA Dictionary,

35

-41-

and under the name of lauroyl monoethanolamide in the Bench Reference.

A series of shampoos were prepared following the procedure of Example 1 by the Indirect method only, using each of the fatty acid esters of Example 1, except MM.

An excellent stable pearl was produced within an aging period of about four days at an ester content of from about 0.2-0.4 parts by weight per 100 parts of shampoo. The initial Brookfield viscosity value was in the range of between about 2000 to 3000 cps. The final viscosity was in the range of between about 6000 to about 7000 cps and remained substantially unchanged thereafter.

15

Example 4. Pearlescent Shampoos

The formula for shampoo "A" of Example 1 was again utilized, except that the cellulose thickener, Ingredient #2(a), and one of the surface active 20 agents, Ingredient #4(b), were omitted. The omitted ingredients were replaced by increasing the content of the remaining surface agent, Ingredient #4(a), accordingly. A series of shampoos were prepared following the procedure of Example 1 by the Indirect 25 method using each of the fatty acid esters, except MM.

An excellent stable pearl was produced within an aging period of about four days at an ester content of from about 0.2-0.4 parts by weight per 100 parts of shampoo. The initial Brookfield viscosity 30 value was in the range of between about 1400 to 1600 cps. The final viscosity was in the range of between about 3500 to about 4000 cps and remained substantially unchanged thereafter.

-42-

Example 5. Pearlescent Shampoos

This Example compares three pearlescent shampoos prepared by the Indirect method with their counterparts prepared by the Direct method. The shampoo formula "A" of Example 1 was utilized except for the fatty acid ester and amounts used as shown in the following table.

	<u>Ester</u>	<u>Weight</u>	Parts by Method		<u>Ester:Amide</u>	Pearlescence <u>(1 - 4 days)</u>
			I	D		
10	MP	0.40	X	-	1:6.25	Excellent
			-	X	1:6.25	None, opaque
	CP	0.30	X	-	1:8.33	Excellent
			-	X	1:8.33	Medium
15	SS	0.20	X	-	1:12.5	Excellent
			-	X	1:12.5	None, opaque

\*amide content = 2.5 parts by weight active per 100 parts shampoo.

20 The above results showed that an attractive, excellent stable pearl developed with a ratio of between about 6 to about 13 parts by weight of amide per parts of ester. These results also show that 25 pearlescent shampoos were consistently produced even at low levels of 0.2 parts by weight fatty acid ester pearlescing agent per 100 parts of shampoo.

Example 6 Pearlescent Shampoos

This Example illustrates the superiority of 30 the Indirect method of this invention over the Direct method in consistently producing pearlescent shampoos.

The formula for Shampoo "A" of Example 1 was utilized, using myristyl stearate at a concentration of 0.4 parts by weight as the fatty acid ester

-43-

pearlescing agent. The shampoo was prepared by the Indirect method and by the Direct method. The results are compared below.

		<u>Indirect Method</u>	<u>Direct Method</u>
5	<u>Viscosity (cps)</u>		
	Initial	2700	5500
	Final (3 days)	6200	6300
	<u>Appearance at Ambient Temperature</u>		
10	Initial	slightly hazy	opaque
	After 1 day	slight pearl	opaque, no pearl
	After 3 days	elegant pearl	no pearl
	After 1 week	unchanged	slight pearl, noticeable phase separation
15	After 6 months	stable, no phase separation	-----

The above results show that elegant pearlescence developed within 3 days on the appearance remained substantially unchanged in the shampoo prepared by the Indirect method. On the other hand, the shampoo prepared by the Direct method was judged a failure owing to phase separation, and poor pearl development.

25

#### Example 7 Pearlescent Shampoos

This Example illustrates the usefulness of various anionic and amphoteric surface active agents frequently utilized in shampoos for their cleansing effectiveness.

The formula for Shampoo "A" of Example 1 was used, except that the level of cleansing surface active agent (Ingredient #4) was varied from between about 9 to about 15 parts by weight, active basis per 35 100 parts of shampoo, in a series of shampoos

-44-

prepared by the Indirect method using MS as Ingredient #6 in an amount of 0.4 parts by weight per 100 parts of shampoo.

The following series of shampoos were prepared, labeled 1 to 7, using the weight ratio of ester:amide represented in the pearlescing base as shown in the Table below.

			Active Parts by	Ratio
	<u>Shampoo</u>	<u>Surface active agent</u>	<u>Weight</u>	<u>Ester: Amide</u>
10	1)	Sodium lauryl sulfate	11.1	1:7
	2)	Sodium olefin(C14-C16) sulfonate (note a)	12.8	1:6
15	3)	Ammonium laureth sulfate (note b)	11.1	1:6
	4)	Sodium laureth sulfate (note c)	11.1	1:6
	5)	Triethanolamine		
20		lauryl sulfate (note d)	16.0	1:6
	6)	Sodium N-cocooyl- sarcosinate (note e)	9.6	1:8
	7)	Cocoamphocarboxy- glycinate (note f)	14.0	1:7
25		All of the shampoos had an elegant pearlescence within a period of about one to four days.		

Note a. CTFA adopted name for a mixture of long chain sulfonate salts prepared by the sulfonation of C<sub>14</sub>-C<sub>16</sub> alpha olefins, available under the trademark BIO TERGE AS-40 from the Stepan Company, Northfield, IL, as a 40 percent solution in water.

Note b. CTFA adopted name for the ammonium salt of ethoxylated ammonium lauryl sulfate

-45-

containing an average of between 1 and 4 ethylene oxide units per molecule, available under the trademark CARSONOL ALES-4 from Lonza, Inc., Fairlawn, NJ, as a 30 percent solution in water.

5 Note c. CTFA adopted name for sodium polyoxyethylene

lauryl sulfate containing an average of between 1 and 4 oxyethylene units per molecule, available under the trademark  
10 CARSONOL SLES from Lonza, Inc., Fairlawn, NJ, as a 30 percent solution in water.

Note d. Available commercially as a 30 percent solution in water, sold under the trademark CARSONOL TLS by Lonza, Inc., Fairlawn, NJ.

15 Note e. Available commercially as a 30 percent solution in water, sold under the trademark HAMPOSYL C-30 by W.R. Grace & Co., Lexington, MA.

20 Note f. CTFA adopted name for an amphoteric surfactant corresponding to CAS #68650-39-5 derived from substituted imidazolines having a terminal group derived from coconut fatty acid, available commercially under the trademark MIRANOL C2M from the Miranol  
25 Chemical Co., Inc., Dayton, NJ, as a 40 percent solution in water.

Shampoo compositions, No. 1-7, all developed an elegant pearlescence within a period of about 1 to  
30 4 days.

Example 8 Pearlescent Shampoo

This Example compares a pearlescent shampoo of this invention prepared following the Indirect  
35 method to a shampoo prepared by the Direct method

-46-

described in Section A above. The pearlescing base is comprised of stearyl palmitate, as the pearlescing agent and polyoxyethylene (9) nonylphenyl ether, a nonionic surface active agent, as the solubilizing agent at a weight ratio of about 10 parts by weight solubilizing agent per part of ester.

	<u>Ingredient</u>	<u>Active Parts by Weight</u>
10	<u>Liquid Medium</u>	
	1. Water to 100 parts by weight	Q.S.
	2. Ammonium chloride	1.2
	3. Sodium lauryl sulfate*	12.0
	<u>Pearlescing Base</u>	
15	4. Stearyl palmitate	0.3
	5. Polyoxyethylene (9) nonyl phenyl ether**	3.0
	<u>Other Ingredients</u>	
20	6. Preservative	Q.S.
	7. Perfume	Q.S.
	8. Citric acid (50 percent active) to pH 6.1-6.3	Q.S.

---

\* An extensive listing of suppliers is found under  
25 this name in the CTFA Dictionary and in the  
Bench Reference.

\*\*Available commercially under the trademark IGEPAL  
CO-630 supplied by the GAF Corporation, Wayne, NJ.  
An extensive listing of other suppliers is also  
30 found under the name, nonoxynol-9 in the CTFA  
Dictionary and in the Bench Reference.

-47-

The results are compared below.

		<u>Indirect Method</u>	<u>Direct Method</u>
<u>Viscosity (cps)</u>			
	Initial	850	2600
5	After 1 day	1300	2700
	Final (4 days)	2950	2700
<u>Appearance at Ambient Temperature</u>			
	Initial	slightly hazy	opaque
10	After 1 day	slight pearl	no pearl
	After 4 days	elegant pearl	no pearl

The above results show the superiority of  
the Indirect method in consistently producing a  
pearlescent shampoo with the pearlescing agent of  
15 this invention.

Example 9 Pearlescent Shampoo

This Example compares a pearlescent shampoo  
of this invention prepared by the Indirect method to  
20 a shampoo prepared by the Direct method described in  
Section A above. The pearlescing base is comprised  
of cetyl palmitate and the fatty alkanolamide of  
Example 1 (Ingredient #5) as the solubilizing agent  
at a ratio of about 8.5 parts by weight solubilizing  
25 agent per part of ester.

-48-

	<u>Ingredient</u>	<u>Parts by Weight</u>
	<u>Liquid Medium</u>	
5	1. Water to 100 parts by weight	Q.S.
	2. Cellulosic thickener of Example 1, Shampoo A.	0.5
10	3. Ammonium chloride	1.0
	4. Tetrasodium ethylenediamine - tetraacetic acid (50 percent active in water)	0.2 *
15	5. Ammonium lauryl sulfate (30 percent active)	25.0 *
	6. Sodium laureth sulfate** (30 percent active)	15.0 *
	<u>Pearlescing Agent</u>	
	7. Cetyl palmitate	0.7
20	8. N, N-bis-(2-hydroxyethyl) coco fatty acid amide (Ingredient #5 of Example 1, basic formula A)	6.0
	<u>Other Ingredients</u>	
	9. Preservative	Q.S.
25	10. Perfume	Q.S.
	11. Citric acid (50 percent active) to pH 6.1-6.3	Q.S.

\* actual active parts by weight = 0.1 for ingredient

#4; 7.5 for ingredient #5 and 4.5 for ingredient #6.

\*\*See note c of Example 7.

-49-

The results are compared below.

	<u>Indirect Method</u>	<u>Direct Method</u>
<u>Viscosity (cps)</u>		
	Initial	3,500
5	After 2 days	16,500
<u>Appearance at Ambient Temperature</u>		
	Initial	slightly hazy
	After 2 days	elegant pearl
		opaque
		no pearl

10 The above results again show the superiority of the Indirect method of this invention over the Direct method in consistently producing a pearlescent shampoo.

15 Example 10 Pearlescent Shampoo

This Example illustrates the utility of a polyethylene glycol ether of a fatty alcohol as the substantially anhydrous solubilizing agent of this invention. These materials are commercially 20 available as a homologous series of ( $C_{12}$ - $C_{18}$ ) fatty alcohols typically having an average of about 2 to about 21 oxyethylene units per molecule. Particularly useful materials are supplied by ICI Americas, Inc. under the BRIJ® trademark series.

25 The formula for Shampoo "A" of Example 1 was utilized in this study except that the pearlescing base was comprised of 3 parts by weight Brij® 30 (containing an average of 4 oxyethylene units per molecule), and 0.4 parts by weight cetyl palmitate 30 per 100 parts of shampoo. This represented a ratio of about 7.5 parts by weight solubilizing agent per parts ester.

35 The shampoo was prepared by the Indirect method, and the resulting product developed an elegant pearl within an aging period of about 4 days.

-50-

Example 11 Pearlescent Shampoos

This example illustrates the use of mixtures of fatty acid esters in the pearlescing base for shampoos prepared by the Indirect method. The

5 formula for shampoo formula "A" of Example 1 was used, except that the pearlescing base was comprised of a mixture of two fatty acid ester pearlescing agents, as shown below, and Ingredient #5 of Formula "A", Example 1 as the anhydrous solubilizing agent.

10

	Pearlescing Agent <u>Ingredients</u>	Parts by Weight		
		<u>Formula</u>	<u>1</u>	<u>2</u>
	Myristyl stearate	0.2	-	
15	Stearyl stearate	0.1	-	
	Cetyl palmitate	-	0.2	
	Stearyl myristate	-	0.2	
	N, N-bis-(2-hydroxyethyl)	2.5	3.0	
	coco fatty acid amide			
20	(Ingredient 5 of Example 1, formula A)			

The respective weight ratio of ester to amide in the order listed in Formula 1 is 2:1:25 per 25 100 parts of pearlescing base representing a weight ratio of total ester to solubilizing agent of 1:8.33 per 100 parts of shampoo. The respective ratio of ester to amide in the order listed in Formula 2 is 1:1:15 per 100 parts of pearlescing base representing 30 a weight ratio of total ester to solubilizing agent of 1:7.5 per 100 parts of shampoo.

The shampoo compositions produced with mixtures of fatty acid esters in the pearlescing base developed an excellent pearl within a period of one 35 to four days.

-51-

Example 12 Pearlescent Shampoos with Pearlescence Accelerating Agents

This example illustrates the effect of a pearlescence accelerating agent on the pearlescing process of the Indirect method in the absence of and in the presence of a fatty acid ester.

The formula for Shampoo "A" of Example 1 was used, containing 0.4 percent myristyl stearate prepared following the procedure of the Indirect method, except that prior to reducing the temperature of the admixture in step (h), a small amount of about 0.05 to about 0.1 parts by weight per 100 parts of shampoo of ethylene glycol monostearate was added to the hot shampoo admixture. For comparison, a second shampoo was prepared without the fatty acid ester pearlescing agent.

The pearlizing of the shampoo prepared by the Indirect method was accelerated, and excellent pearlescence was noted within a period of 12 to 24 hours. On the other hand, the shampoo containing no fatty acid ester, developed no pearlescence even after a period of two weeks. This finding was surprising, because ethylene glycol monostearate is itself a known pearlescing agent.

The present invention has been described with respect to preferred embodiments. It will be clear to those skilled in the art that modifications and/or variations of the disclosed compositions and methods can be made without departing from the scope of the invention set forth herein.

-52-

WHAT IS CLAIMED IS:

1. An improved pearlescent shampoo comprising a liquid medium containing an effective amount of a cleansing surface active agent and water  
5 the improvement wherein said shampoo contains suspended therein an effective amount of a substantially anhydrous pearlescing base, said base comprising a presolubilized pearlescing agent and a substantially anhydrous solubilizing agent for said pearlescing agent, said pearlescing agent comprising at least one fatty acid ester whose fatty acid portion is derived from a saturated ( $C_{16}$ - $C_{22}$ ) fatty acid and whose alcohol portion is derived from a saturated  
10 ( $C_{14}$ - $C_{22}$ ) fatty alcohol, said ester being presolubilized with said solubilizing agent at a weight ratio of from between about 1 to 25 parts by weight of solubilizing agent per part of ester.
2. The pearlescent shampoo of claim 1  
20 wherein said pearlescing agent is selected from the group consisting of myristyl palmitate, myristyl stearate, cetyl myristate, cetyl palmitate, cetyl stearate, stearyl myristate, stearyl palmitate, stearyl stearate, stearyl behenate, and mixtures thereof.
3. The pearlescent shampoo of claim 1  
25 wherein said shampoo additionally includes dispersed therein a pearlescence accelerating agent present in a crystalline state and in an amount of about 0.05 to about 0.2 parts by weight per 100 parts of shampoo said pearlescence accelerating agent being a ( $C_2$ - $C_4$ ) alkylene glycol ester of a ( $C_{12}$ - $C_{22}$ ) fatty acid.
4. The pearlescent shampoo of claim 1  
35 wherein the solubilizing agent is selected from the

-53-

group consisting of polyoxy(C<sub>2</sub>-C<sub>4</sub>)alkylene derivatives of (C<sub>8</sub>-C<sub>22</sub>) fatty alcohols containing from about 1 to about 100 oxyethylene, oxypropylene, or oxyethylene/oxypropylene condensation units per molecule, polyoxyethylene derivatives of (C<sub>8</sub>-C<sub>22</sub>) alkyl phenols containing an average of from about 3 to about 150 oxyethylene units per molecule, copolymers of ethylene oxide and propylene oxide, mono- and di-(C<sub>2</sub>-C<sub>4</sub>) alkanolamides of (C<sub>8</sub>-C<sub>22</sub>) fatty acids, alkali metal salts of fatty (C<sub>14</sub>-C<sub>18</sub>) alkyl sulfates and mixtures thereof, and the cleansing surface active agent is selected from the group consisting of anionic, nonionic, zwitterionic, amphoteric and cationic surface active agents and mixtures thereof.

5. The pearlescent shampoo of claim 4 wherein the substantially anhydrous solubilizing agent is a di-(C<sub>2</sub>-C<sub>4</sub>)-alkanolamide of (C<sub>8</sub>-C<sub>22</sub>) fatty acid present in an amount of from 20 about 0.01 to about 15 parts by weight per 100 parts of the shampoo.

6. A method for preparing an improved pearlescent shampoo which comprises the steps of producing a liquid medium by mixing water and an amount of a surface active agent effective for cleansing wherein the improvement comprises further admixing therein a substantially anhydrous pearlescing base, said base comprising a presolubilized pearlescing agent and a substantially anhydrous solubilizing agent for said pearlescing agent, said pearlescing agent comprising at least one fatty acid ester whose fatty acid portion is derived from a saturated (C<sub>16</sub>-C<sub>22</sub>) fatty acid and whose alcohol portion is derived from a saturated (C<sub>14</sub>-C<sub>22</sub>) fatty alcohol, said pearlescing base

-54-

having been prepared by blending said solubilizing agent at a weight ratio of from between about 1 to about 25 parts by weight of solubilizing agent per part of ester while said solubilizing agent is in a liquid state and is at a temperature, prior to said blending, above the melting point of said fatty acid ester and below the boiling point of said solubilizing agent, and maintaining said blend within said temperature range until said ester is solubilized;

maintaining said liquid medium at a temperature above the melting point of the fatty acid ester present in the pearlescent base and below the boiling point of said liquid medium;

15 admixing said pearlescent base in said heated liquid medium while said pearlescent base is in a liquid state prior to said admixture;

20 maintaining the temperature of said admixture at a temperature above the melting point of the fatty acid ester present in the pearlescent base and below the boiling point of the lowest boiling ingredient in the liquid medium for a period of time sufficient to form a substantially homogeneous admixture; and

25 thereafter reducing the temperature of said admixture below the melting point of said pearlescent agent to form a substantially pearlescent shampoo having said pearlescent agent suspended therein in a crystalline state.

30 7. An improved pearlescent shampoo prepared according to the method of claim 6 wherein:  
said surface active agent is selected from the group consisting of anionic, zwitterionic, amphoteric and cationic surface active agents and mixtures thereof, and is present in an amount from

-55-

about 5 to about 25 parts by weight per 100 parts of shampoo; said liquid medium also contains from about 0.25 to about 1.5 parts by weight per 100 parts of shampoo of water-dispersible alkyl and hydroxyalkyl  
5 substituted polysaccharide wherein the alkyl substituent is selected from the group consisting of methyl and ethyl and the hydroxyalkyl substituent is selected from the group consisting of 2-hydroxyethyl, 2-hydroxypropyl and 2-hydroxybutyl; and said  
10 substantially anhydrous pearlescent base is present in an amount of about 0.1 to about 20.0 parts by weight per 100 parts of shampoo, said base comprising at least one fatty acid ester whose fatty portion is derived from a saturated ( $C_{16}$ - $C_{18}$ ) fatty acid and  
15 whose alcohol portion is derived from a saturated ( $C_{14}$ - $C_{18}$ ) fatty alcohol and a substantially anhydrous solubilizing agent for said fatty acid ester selected from the group consisting of polyoxy( $C_2$ - $C_4$ )alkylene derivatives of  
20 ( $C_8$ - $C_{22}$ ) fatty alcohols containing from about 1 to 100 oxyethylene, oxypropylene, or oxyethylene/oxypropylene condensation units per molecule, polyoxyethylene derivatives of ( $C_8$ - $C_{22}$ ) alkyl phenols containing an average of from about 3  
25 to about 150 oxyethylene units per molecule, copolymers of ethylene oxide and propylene oxide, mono- and di-( $C_2$ - $C_4$ ) alkanolamides of ( $C_8$ - $C_{22}$ ) fatty acids and mixtures thereof, said ester and solubilizing agent present in said pearlescent base  
30 in a weight ratio of about 4 to 15 parts by weight of solubilizing agent per part of ester.

8. A method of preparing a substantially anhydrous pearlescent base concentrate comprising the steps of providing a substantially anhydrous solubilizing agent and at least one fatty acid ester  
35

-56-

whose fatty acid portion is derived from a saturated (C<sub>16</sub>-C<sub>22</sub>) fatty acid and whose alcohol portion is derived from a saturated (C<sub>14</sub>-C<sub>22</sub>) fatty alcohol, blending said solubilizing agent with said ester  
5 while said solubilizing agent is in a liquid state and is at a temperature, prior to said blending, above the melting point of said fatty acid ester and below the boiling point of said solubilizing agent, maintaining said blend within said temperature range  
10 until said ester is solubilized therein to provide a pearlescent base concentrate, and thereafter cooling said concentrate to ambient room temperature.

9. A substantially anhydrous pearlescent base concentrate prepared according to the method of  
15 claim 8 wherein said solubilizing agent is present at a weight ratio of from about 1 to about 25 parts by weight of solubilizing agent per part of ester.

20

25

30

35

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US86/00245

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) <sup>13</sup>

According to International Patent Classification (IPC) or to both National Classification and IPC

INT. CL. 4 A61K 7/06  
U.S. CL. 424/70

## II. FIELDS SEARCHED

Minimum Documentation Searched <sup>4</sup>

Classification System	Classification Symbols
U.S.	424/70

Documentation Searched other than Minimum Documentation  
to the Extent that such Documents are Included in the Fields Searched <sup>5</sup>

## III. DOCUMENTS CONSIDERED TO BE RELEVANT <sup>14</sup>

Category <sup>6</sup>	Citation of Document, <sup>15</sup> with indication, where appropriate, of the relevant passages <sup>17</sup>	Relevant to Claim No. <sup>18</sup>
X	U.S., A, 4,384,974, Guthauser, 24/May, 1983, Column 1, lines 60 to 68.	1 to 9
X	U.S., A, 4,102,795, Minegishi, 25/July, 1978, Column 2, lines 10 to 25.	1 to 9
X	U.S., A, 4,126,674, Mausner, 21/November, 1978. Column 4, lines 25 to 40.	1 to 9
X	U.S., A, 4,438,096, Preston, 20/March 1984, Column 6, lines 30 to 45.	1 to 9

### \* Special categories of cited documents: <sup>15</sup>

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

## IV. CERTIFICATION

Data of the Actual Completion of the International Search <sup>9</sup>

4 April 1986

Date of Mailing of this International Search Report <sup>9</sup>

06 MAY 1986

International Searching Authority <sup>1</sup>

ISA/US

Signature of Authorized Officer <sup>10</sup>

Dale R. ORE by D.R.O.  
DALE R. ORE

